

Account Opening Information File

Account Name :

Account No :

Address :

.....

Phone No :

Fax No :

E-mail :



PFI Securities Limited

a member of Prime Financial Group

Corporate Member of DSE: 79, SEC Reg. No. 31/DSE-79/2002/70
CSE: 95, SEC Reg. No. 32/CSE-095/121/2003
Full Service DP, CDBL: 17400
SEC Reg. No. SEC/REG./CDBL-DP-27
63 Dilkusha C.A. (3rd Floor), Dhaka-1000
Phone: +88-(02)-9563883, Fax: +88-(02)-9563692, E-mail: pfisl@yahoo.com

Account Opening Requirements

1. **Eligibility:** Following parties are eligible to open a BO Account:
 - (a) Any individual of 18 years and above.
 - (b) Company, Firm, Trust and Association.
2. **Joint Account:** Any Two Individuals who are eligible to open Individual account can open a Joint Account together.
3. **Account opening form must be filled/Completed in Block Letter only.**
4. **Account:** According to the rules of the regulatory bodies an individual can maintain maximum 2 accounts (1 individual and another joint account)
5. **Required documents:**

Individual/Joint Account

- 2 (two) copies recent passport size photographs (Lab Printed) of each Accountholder (s) duly Signed
- Photocopy of Passport/National ID/Driving License/Bank Certificate
- Photograph of nominee (s) attested by the account holder (s). If the Nominee (s) is Minor then 1 Copy of Passport Sized photograph of a Guardian must be submitted along with the Account Opening Form. Note that the Account Holder Cannot be the Guardian of the Nominee (s).
- Bank Statement for last one month
- 2 Copies of Passport Sized Color photographs (Lab Printed) of the POA holder (Attested by the account holder)
- Photo copy of National ID Card of POA holder (Photo copy of National ID Card has to attested with the same signature as on the Original Copy)
- 150/- Stamp must be notarized describing the tasks that POA holder will be eligible to conduct in PFI Securities Limited on behalf of the account holder. **(For Individual Account Only)**
- In case of Joint Account**, if POA holder is any third party then 150/- Stamp must be notarized describing the tasks that POA holder will be eligible to conduct in PFI Securities Limited on behalf of the account holders

Company Account

- Photo copy of National ID Card of Managing Director or CEO of the Company (Photo copy of National ID Card has to attested with the same signature as on the Original Copy)
- Attested Photographs of the Signatories
- Board Resolution regarding opening of the account with PFI Securities Limited and A Power of Attorney (POA) holder to operate on behalf of the Company (Attested by Company Secretary or Authorized Signatory)
- Memorandum & Article of Association certified by RJSC
- 2 Copies of Passport Sized Color photographs (Lab Printed) of the POA holder (Attested by the account holder)
- Photo copy of National ID Card of POA holder (Photo copy of National ID Card has to attested with the same signature as on the Original Copy)
- TIN (Tax Certificate)
- Trade license & Incorporation Certificate

- Photocopy of Company Bank certificate
- Photocopy of Company Bank statement

NRB Account

- 2 (two) copies recent passport size photographs (Lab Printed) of each Accountholder (s) duly Signed
- Photocopy of National ID/Driving License/Bank Certificate
- Photograph of nominee (s) attested by the account holder (s). If the Nominee (s) is Minor then 1 Copy of Passport Sized photograph of a Guardian must be submitted along with the Account Opening Form. Note that the Account Holder Cannot be the Guardian of the Nominee (s).
- FC Bank Statement for last one month
- FC & NITA Bank Certificate
- Job Certificates of the account holder.
- City Council certificate/permission copy (In case of Business Person)
- 2 Copies of Passport Sized Color photographs (Lab Printed) of the POA holder (Attested by the account holder)
- Photo copy of National ID Card of POA holder (Photo copy of National ID Card has to attested with the same signature as on the Original Copy)
- 150/- Stamp must be notarized describing the tasks that POA holder will be eligible to conduct in PFI Securities Limited on behalf of the account holder.
- Photocopy of Passport 7 (seven) Pages including Visa Page.

6. POA Holder:

- (a) Any employee of PFI Securities Limited cannot be appointed as Power of Attorney (POA) Holder.

7. Mode of Instruction: All instructions shall be made in writing.

8. Amendment of Rules: PFI Securities Limited reserves the right to amend the present rules at any time in any manner with or without giving prior notice to the Accountholder(s) separately or to the public.

PFI Securities Limited Terms and Conditions.

Article – 1 General Terms

- 1-1 The Client has absolute discretionary power to make investment decisions and may from time to time instruct the A/R to make such investment(s) for and on its behalf by the following Means of Communication:
- (a) Order slip of PFI Securities Limited signed by the Client;
 - (b) Written instruction signed by the Client;
 - (c) Faxed instruction signed by the Client;
 - (e) Other evidence of order recorded through any other electronic devices accepted by the PFI Securities Limited.
- 1-2 The Means of Communication shall constitute evidence of orders made by the Client to the AR, which when executed shall be conclusive and binding on the Client.
- 1-3 All instructions and orders made by the Client over telephone or any other electronic or electrical devices shall be logged by the AR in its system and such records shall be conclusive that the instructions have been given by the Client and the transactions so ordered or instructed shall be binding on the Client.
- 1-4 Order by electronic transmission to the AR shall be made at the risk of the Client and the AR shall under no circumstance be held responsible for any loss due to non- transmission arising out of any electronic, electrical or mechanical fault.
- 1-5 In case of telephonic and e-mail support, PFISL will only correspond to those number (s) and address that have been provided in the account opening form.
- 1-6 In case of telephonic order client/POA holder has to sign buy/sale order within 24 hrs after the execution of his trade order
- 1-7 Margin Agreement has to be signed properly before availing Loan
- 1-8 The account holder must show his/her latest portfolio to AR before placing an order.
- 1-9 The Client shall be eligible to purchase only the securities approved by the Management.
- 1-10 The Management may, at its discretion, from time to time, add new securities to or remove existing securities from the list of approved securities.
- 1-11 The client cannot sale any instrument until that instrument is saleable / matured.
- 1-12 The client shall only be allowed to buy a particular instrument if he/she has purchase power to buy that instrument
- 1-13 The Client has to sign pay in slip and trade confirmation after execution of his given
- 1-14 The AR upon acceptance of an order shall undertake necessary steps to execute such orders of the Client. If the Means of Communication is not satisfactory, the AR shall not be obliged to comply with such order but revert back to the Client for clarification.
- 1-15 If the value of an order of the Client exceeds the purchasing power of the Client, which will be determined by the available cash and Margin Loan in the account, the AR shall have the discretion either to reject the order or modify the order by reducing the order quantity and/or eliminating a specific order.
- 1-16 The sole responsibility for making investment decisions shall be on the Client and the AR will not make any discretionary investment decisions for or on behalf of the Client.
- 1-17 The AR shall under no circumstances be responsible or liable for any result whether adverse or otherwise of the investment decisions of the Client.
- 1-18 The client acknowledges that the AR neither guarantees any rate of return or profit from any investment to the Client nor shall be responsible for any losses caused to the Client due to fluctuations of the capital market.
- 1-19 The Client may from time to time appoint or remove any person as Authorized Person/Power of Attorney holder to act on behalf of the client.

- 1-20 Such Authorized Person shall be able to do all acts and give instructions or orders for all transactions under this Agreement, including operating the account(s) of the Client and shall be acting for and on behalf of the Client or described in the power of attorney declaration by the Client until he/she is removed in the manner stipulated hereunder.
- 1-21 The AR may act on the instructions of an Authorized Person until it actually receives written notice from the Client of the removal of such Authorized Person and may in any event act on any instructions issued by the Authorized Person before the date of receipt of the written notice of such removal.
- 1-22 The Client shall bear the full responsibility of the acts or omission of its Authorized Person. The AR shall not be held liable for any irregularities or unauthorized acts committed by the Authorized Person including and not limited to, unauthorized placing of securities purchase/sale orders or withdrawal of fund/securities.
- 1-23 In case the Client is a limited company, institution, association, trust or a firm, the signatories to this Agreement the Client shall provide full corporate documents, including Board approvals and shareholder approval (if any) supporting the appointment of the Authorised Person prior to the appointment.
- 1-24 The Client shall be solely responsible for notifying the Securities and Exchange Commission and other concerned authorities in the case of acquiring substantial shares of a company and/or trading shares of companies in which the Client holds directorship or in other cases where it is obligatory to notify the regulatory authorities.

Article-2 Fees Charges and Interest

- **Initial Fund:** A minimum of Tk. 500,000/= (Taka five Hundred Thousand Only) for **Cash** and **Tk. 1,000,000/=** (Taka One Million only) for **Margin** account
- **Margin Loan:** 100% of the Client's Equity, i.e., the Debt to Equity ratio would be 1:1 or Negotiable. However the loan amount should not exceed Tk. 30 (thirty) million to a single client.
- **Fees, interest and charges shall be payable:**

Sl.	Particulars	Fees/Charges
01	Documentation Charge (Margin account only)	Tk. 1,000/= (Taka one thousand only during the time of account opening)
02	Interest rate on margin loan (to be charged quarterly for margin account)	18% Tk
03	Brokerage Commission Cash/Margin	0.35% on transaction value
04	B/O Account Opening Charge	Tk. 700/= (Taka seven Hundred only).
05	CDBL Charge	Actual Basis

- **Out of Pocket and any third party expenses:** Charges of Central Depository are to be levied according to the charging mechanism set by the Central Depository of Bangladesh Limited. These expenses include (but not limited to):
 - a. Central Depository Fees.
 - b. Other extra-ordinary communication costs.

Article – 3 Nominee

- 3-1 In the event of death of the Client, the nominee(s) as prescribed by the Client in the application form shall be the only person(s) recognized by the Management as being entitled to the assets of the portfolio.
- 3-2 In case nominee(s) are not mentioned, the Management shall not be obliged to deal with any person or persons to accept any right, title or interest of any person unless such person is holding a valid succession certificate, probate or letter of administration covering the investment from a court of competent jurisdiction and subject to such further documents or evidence that the Management may require.

Article – 4 Notice

- 4-1 Any notice provided for in this Agreement shall be in writing and shall be first transmitted by facsimile transmission, and then confirmed by registered mail or courier service, in the manner as elected by the party giving such notice to the addresses provided in account opening file.
- 4-2 All notices shall be deemed to have been validly given on (i) the Business Day when the transmission was made, if transmitted by facsimile transmission, or (ii) the expiry of 3 (three) Business Day after posting if transmitted by registered mail or (iii) the Business Day of receipt, if transmitted by courier.
- 4-3 Any Party may, from time to time, change its address or representative for receipt of notices provided for in this Agreement by giving to the other party, not less than 10 (ten) days prior written notice.
- 4-4 Client has to strictly follow the laws, rules and regulation of all the regulatory bodies
- 4-5 In case of deposit through cheque, the client cannot trade until his/her deposited Cheque is matured.
- 4-6 In case of cash deposit client has to deposit the client can deposit a maximum amount of Taka 05 (five) Lac cash to the respective bank referred by PFI Securities Limited in a given day.
- 4-7 In case of Money Withdrawal, Client has to submit a money withdrawal requisition slip to the Accounts department of PFI Securities Limited. PFISL shall only issue A/C Cheque in the name of Account Holder. No cash/cash cheque/fund transfer shall be allowed.
- 4-8 In case of issuance of Cheque to POA Holder's name, client has to submit A **Registered POA Agreement from 1st Class Magistrate**. In this case, PFI Securities Limited's decision will be full and final.
- 4-9 If any change(s) regarding account information, client must place a written request to the Managing Director & CEO of PFI Securities Limited.
- 4-10 Client must check and verify all documents he/she receives from PFISL and make sure that those documents are duly signed by Authorized Person of the company. Once satisfied he/she should sign in those documents. If any documents is not duly signed by the Authorized Signature of any employee then client cannot make any complain against that particular document.

Article – 5 Physical Shares

- 5-1 If client wants to take his/her paper share from PFISL then we will only deliver those shares to the account holder only for transferring the name of the share.
- 5-2 Any claim regarding Fraud, forgery or any related terms must be made within 7 (seven) days from receive of paper share.
- 5-3 PFISL will only receive client's paper share within 6 (six) months of the delivery of the share from PEISL.
- 5-4 In case of Paper Share withdrawal, client has to submit a share withdrawal requisition slip to the share department of PFISL and his/her share will be delivered in the next working day. However, share delivery is fully dependable on the ledger balance of the client. If Ledger balance is negative then the client cannot withdraw his/her share (for cash account).
- 5-5 In case of Margin account, if client's purchase power is negative then he/she cannot withdraw any share from PFISL. If client's purchase power is positive then he/she can withdraw his/her share from PFISL.

Article – 6 Termination of Agreement

- 6-1 This Agreement shall continue in force until terminated by either party giving to the other not less than **60 (Sixty)** Business Days' notice in writing provided that either party may upon serving written notice to terminate this Agreement in occurrence of any one of the following events:
- (a) The other party is in breach of any material terms of this Agreement, which shall not have been remedied within 15 (Fifteen) days after serving of written notice requiring the breach to be remedied.
 - (b) The other party shall go into bankruptcy or liquidation, a resolution is passed for its winding up or a receiver or similar officer is appointed over any assets of the party.
 - (c) Occurrence of any other matter that causes permanent disability for the Manager to carry out the portfolio management functions.

6-2 The Company reserves the right and sole discretion to liquidate the Portfolio of the Client in whole or in part without notice to the Client When total investment of the account holder falls below 125% of the debit balance in the margin account to bring the equity to not less than 150% of the debit balance..

Article – 07 Governing law and jurisdiction

7-1 This agreement shall be governed by the laws of Bangladesh.

Article – 08 Miscellaneous

- 8-1 PFI Securities Limited shall be fully authorized to modify or vary the terms and conditions contained herein for compliance with any prevailing or change of relevant laws relating to matters herein.
- 8-2 The Schedule(s) and any rider(s) attached hereto including the Account Opening Form, together with this Agreement shall constitute the entire Agreement between the parties hereto and supplement this Agreement.
- 8-3 This Agreement is or shall be in compliance with the Securities and Exchange Commission (SEC) laws and such rules, regulations, notices or circulars issued by the Securities and Exchange Commission from time to time.

In Witness whereof the parties hereto have caused this Agreement to be executed in duplicate by their respective duly authorized representatives as of the date and year first written above.

Applicant	Full Name	Signature with Date
First Applicant		
Second Applicant		
Third Applicant (Ltd. Co. Only)		

PFI Securities Limited

Customer Account Opening Form SEC Rule 8(1) (ccc)

Photo

Customer Code#

Account Type: Margin / Cash

Special Remarks (if any)

Customer BO #

Dear Sir:

Date:

I/We hereby request you to open a Margin/cash account in your Company in the name mentioned hereunder. I/We agree to comply with and abide by the Margin/cash rules in force for the conduct of the account and any amendments thereto.

Title of Account:

First account holder :

Father's/Husband's/CEO's (incase of company) Name :

Mother Name :

Present Address :

Permanent Address :

Joint applicant (Second account holder) :

Father's/Husband's/CEO's (incase of company) Name :

Mother Name :

Present Address :

Permanent Address :

Age		Nationality		Telephone	
Sex:		TIN		Fax	
		Passport No.		E-mail	

Whether the applicant is an Officer or Director of any Stock Exchange/Listed Company?	YES ()	NO ()
If yes, name of the stock Exchange/listed company		

Name & address of introducer		Signature
Special instructions, if any		

Nominee 1 Relationship with A/C holder:			Signature
Nominee 2 Relationship with A/C holder:			Signature

Name and address of authorized person		Signature
---------------------------------------	--	-----------

Name of the first account holder	Signature
Name of the second account holder	Signature

(FOR OFFICIAL USE ONLY)

Checked & Verified by

Recommended

Approved by

BO Account Opening Form (Bye Law 7.3.3 (b))

Please complete all details in CAPITAL letters. Please fill all names correctly. All communication shall be sent **only** to the First Named Account Holder's correspondence address.

Application No

Date (DDMMYYYY).....

Please Tick whichever is applicable

BO Category: Regular <input type="checkbox"/>	Omnibus <input type="checkbox"/>	Clearing <input type="checkbox"/>	BO Type: Individual <input type="checkbox"/>	Company <input type="checkbox"/>	Joint Holder <input type="checkbox"/>
---	----------------------------------	-----------------------------------	--	----------------------------------	---------------------------------------

Name of CDBL Participant (Up to 99 Characters) <div style="text-align: center;">.....PFI Securities Limited.....</div>		
CDBL Participant ID <div style="border: 1px solid black; padding: 2px;">1 7 4 0 0</div>	BO ID <div style="border: 1px solid black; padding: 2px;">1 2 0 1 7 4 0 0</div>	Date Account Opened (DDMMYYYY) <div style="border: 1px solid black; padding: 2px;"> </div>

I / We request you to open a Depository Account in my / our name as per the following details:

1. First Applicant

Name in Full of Account Holder (Up to 99 Characters)	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	<i>Title i.e. M. /Mrs. /Ms. /Dr.</i>
<div style="border: 1px solid black; padding: 2px;"> (In case of a Company/Firm/Statutory Body) Name of Contact Person </div>	
In Case of Individual Male <input type="checkbox"/> Female <input type="checkbox"/> Occupation (30Characters) <i>Father's / Husband's Name.....Mother's Name.....</i>	

2. Contact Details:

Address	
City.....	Post Code.....
State / Division	Country.....
Mobile Phone.....	Fax.....
E-mail.....	

3. Passport Details

Passport No.....	Issue Place.....	Issue Date.....	Expiry Date.....
------------------	------------------	-----------------	------------------

4. Bank Details

Bank Name.....	Branch Name.....	Account No.....
Electronic Dividend Credit: Yes <input type="checkbox"/> No <input type="checkbox"/>	Tax Exemption if any: Yes <input type="checkbox"/> No <input type="checkbox"/>	TIN / Tax ID:.....

5. Others Information

Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/>	Nationality.....	Date Of Birth (DDMMYYYY) <div style="border: 1px solid black; padding: 2px;"> </div>
Statement Cycle Code Daily <input type="checkbox"/> Weekly <input type="checkbox"/> Fortnightly <input type="checkbox"/> Monthly <input type="checkbox"/> Other (Please Specify)		
Internal Ref. No (To be filled in by CDBL Participant)		
In Case of Company: Registration No.....		Date of Registration (DDMMYYYY) <div style="border: 1px solid black; padding: 2px;"> </div>

6. Joint Applicant (Second Account Holder)

Name in Full (Up to 99 Characters).....	
Short Name of Account Holder (Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	<i>Title i.e. Mr/Mrs/Ms/Dr</i>
<div style="border: 1px solid black; padding: 2px;"> (In case of a Company/Firm/Statutory Body) Name of Contact Person </div>	

Central Depository Bangladesh Limited (CDBL)
Depository Account (BO Account) opened with CDBL Participant
Terms & Conditions - Bye Laws 7.3.3(c)

CDBL Participant, Dhaka, Bangladesh

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out below. In consideration of **PFI Securities Limited** (the "CDBL Participant") opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out below

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDSL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instruct the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant's own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
 - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
 - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization
 - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
 - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
 - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, and signature. etc.;
 - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
 - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
 - b) In the event, the nominee so authorized remains a minor at the time of my/our death; the legal guardian is authorized to receive/draw the securities held in my/our account.
 - c) The nominee so authorized, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:
 - (a) By rematerialization of all existing balances in my/our account;
 - b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
 - (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my /our other account(s) with any other CDBL Participant(s);
8. CDBL Participant covenants that it shall

- (a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf.
- (b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
- (c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
- (d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
 - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
 - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his/ its constituted attorney available on the records of the CDBL Participant;
 - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honors the Account Holder's instructions.
- (e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes inaccuracies or discrepancies in such statements.
- (f) promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances/ complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavor to resolve the same at the earliest.

9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:

- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
- (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
- (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
- (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicant	Full Name	Signature with Date
First Applicant		
Second Applicant		
Third Applicant (Ltd. Co. Only)		

Photograph of Power of Attorney Holder

	<p>Please paste recent passport size Photograph Photograph</p>
--	--

(POA Holder)

DECLARATION

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

	Name	Signature
POA Holder		
First Account Holder		
Second Account Holder		
Third Account Holder (Ltd. Co. only)		

Date:

PFI Securities Limited
Member DSE-79, CSE-95
63 Dilkusha C/A (3rd Floor)
Dhaka- 1000

Declaration

I/we the undersigned do hereby declare and affirm that I/we have portfolio share trading account(s) in the following brokerage house/merchant bank.

Name of the Brokerage House/Merchant Bank	Account No.	Type of Account		
		Cash	Margin	Portfolio

I/we confirm that the information declared above is true. I/we shall be held responsible for any miss statement.

Signature of Principle Applicant
Name:

Signature of Joint Applicant
Name:

Dhaka Office: 36 Dilkusha (11 th Floor), Peoples Insurance Bhaban, Dhaka-1000.	Mirpur Office: Plot # 1, Block # G, Zoo Road, Mirpur Housing Estate, Section # 1, Mirpur, Dhaka	Rajshahi Office: Zodiac Bhaban (3 rd Floor), 88 Shaheb Bazar, Rajshahi-6100.	Chittagong Office: C & F Tower (3 rd Floor), 1222 Sheikh Mujib Road, Agrabad C.A. Chittagong.	Sylhet Office: Holy Complex (3 rd floor), East Dargah Gate, Sylhet-3100	Gulshan Office: Z.N. Tower (Ground Floor), Plot# 2, Road# 8, Gulshan Avenue, Gulshan-1, Dhaka-1212
---	---	---	--	--	--